## Negotiated Rulemaking Committee Requests for Information

Last Update: October 28, 2003

|             | Seattle, June 2003 |   |  |                             |  |
|-------------|--------------------|---|--|-----------------------------|--|
| Request No. | Submitted by       | Topic   | Response   | Completed                   |  |
| Request 1   | Wagenlander        | Removal of CAS<br>over 15 years                           | Allocation Comparisons   | 6/23                        |  |
| Request 2   | Sawyers            | Formula with no CAS and 2 Need Variables                  | Comparisons  | 6/23                        |  |
| Request 3   | Heisterkamp        | Review of Vacancy, bedroom counts, and housing conditions | Tribes with vacancy < 5% distributed in Seattle, Bedroom Counts, Selected Housing Conditions   | Selected<br>Conditions 6/30 |  |
| Request 4   | Denson             | TDC and<br>Geographic<br>Multiplier                       | TDCs for Tribes are in Briefing Books 2 & 3. See Note on Averages. Table showing Base Need and Adjustment by TDC based multiplier  | Completed in Seattle 6/19   |  |
| Request 5   | Sawyers            | TDC and<br>Geographic<br>Multiplier                       | Table showing Base Need and Adjustment by TDC based multiplier also applies here   | Completed in Seattle, 6/19  |  |
| Request 6   | Jacobsen           | СРІ   | No data is available to represent local inflation for all Tribes. The CPI value is obtained from the Bureau of Labor Statistics web page: http://www.bls.gov/data/home.htm. These are national values. | Completed in Seattle, 6/20  |  |
| Request 7   | Bush               | TDSA & Census<br>definitions of<br>geography              | 2000 Census Definitions of Geography   | Completed in Seattle, 6/20  |  |
|             |                    |   | Summary Comments,  |                             |  |

| Request 8  | Hutchings   | ANVSA, CPD,<br>and TDSA  | 1990 Census definitions of geographic entities, 2000 Census definitions of geography,  Three Groups of ANVSAs in the Census  | 6/30<br>7/6                     |
|------------|-------------|--|--|---------------------------------|
| Request 9  | Tillinghost | Section 8 Formula<br>Change  | A Hogation Lomparisons   |                                 |
| Request 10 | Humphrey    | TDSAs,<br>Balance Areas in<br>Formula Areas                        | Tribes with TDSA Designation, Balance Areas in 1998 & 2003, Formula Area Submissions and Actions, 1998-2003  | TDSA 6/25<br>Formula Area7/2    |
| Request 11 | Bush        | TDC as minimum funding level, County Vacancy rates                 | funding level, County Vacancy   County Vacancy computed for the balance of county when county has  |                                 |
| Request 12 | Ducheneaux  | Name of all<br>Tribes, IHBG<br>allocation, and<br>total enrollment | Information is contained in Briefing Books 2 & 3  A listing containing only the requested items is now available.  | 6/20,<br>9/2                    |
| Request 13 | Sawyers     | TDC  | Request for detailed information on TDC calculation was covered in Handout 32 at the meeting.  | 6/19                            |
| Request 14 | Sceeles     | Formula Area   | Email Response provided by HUD   | 9/15                            |
| Request 15 | Reed        | CAS AEL FMR<br>Multiplier  | Listing of AEL/FMR - this was handed out in Seattle, format is revised to show counts  | 6/26<br>7/09 format<br>revision |
| Request 16 | Sceeles     | Harvard Study<br>Appendix E  | PDF files of Operating Cost Study,<br>All Appendices, and Appendix E   | 6/27                            |
| Request 17 | Hutchings   | ANVSA in 1980<br>Census,<br>Tribal challenges<br>of Census         | Jamie Rosenson, Geography Division, Bureau of the <u>Census</u> ,  responded to the questions submitted by Jim Anderson. Census Bureau attachment  | 7/14                            |
| Request 18 | Sawyers     | Formula Run with three need variables                              | Allocation with three variables for need: persons, HH<30, and HH30-80 is compared to allocation with two variables: HH<30 and HH30-80. Both are compared to the base allocation of the FY 2003 calculations with Census 2000 data for all need variables. There are Area Office, State, and Tribe Comparisons. | 9/2                             |
|            |             | Copy of Special<br>Tabulation Data                                 | Special Tabulation of Housing and Income Variables in Census 2000. Since receiving this file from  |                                 |

| Request 19           | Harjo              | for the 6 HH<br>variables in the<br>formula                             | Census HUD has been matching it to the 1990 Census geographies used in the Formula. This has revealed new counties, new tribal area, new OTSAs, etc. | 10/28 |  |
|----------------------|--------------------|---|--|-------|--|
| All Seattle Requests | This file contain  | This file contains copies of all NegReg Blue Sheets received in Seattle |  |       |  |
|                      | BIA La             | bor Force Report, 2   | 001 (very large PDF file)  |       |  |
|                      | Blank Request Form |   |  |       |  |

# Negotiated Rulemaking Committee Requests for Information

Last Update: October 30, 2003

|             | D               | enver, July 14 -17, 20   | 003   |           |
|-------------|-----------------|--|---|-----------|
| Request No. | Submitted by    | Topic  | Response  | Completed |
|             | Requests 20     | )-39 were received in  | Denver.   |           |
| Request 20  | Larry Coyle     | Section 8 inflation factor   | Distributed in Denver   | 7/14/03   |
| Request 21  | Jason Adams     | Allocation<br>comparison with<br>AELA minimum<br>Set to 1.00   | Distributed in Denver   | 7/14/03   |
| Request 22  | Rebecca Kidder  | Amount of unclaimed grants and repayments  | Documents 44 and 46, distributed during the second Denver meeting   | 5/30/03   |
| Request 23  | Rebecca Kidder  | Comparison of three minimum funding alternatives to current \$50K in year 1 and \$0K in succeeding years: 1) tribes TDC, 2) 2 times tribes TDC, and 3) TDC for tribes > 15HH or 40 persons | Distributed in Denver   | 7/14/03   |
| Request 24  | Bob Carlile     | List of all tribes in Oklahoma   | Distributed in Denver   | 7/14/03   |
| Request 25  | Bruce La Pointe | Information about<br>Alaska region   | Alaska became a<br>full service Office<br>in 1975. The<br>Office of Indian<br>Programs was<br>established in<br>1981, however | 7/15/03   |

|            |                                    |   | the historical data<br>requested on<br>funding is not<br>available.                  |                               |
|------------|------------------------------------|---|--|-------------------------------|
| Request 26 | Wayne Scribner                     | CAS and NEED comparisons with AELA minimum set to 1.00 after calculation of NFMRAEL   | Distributed in Denver  | 7/15/03                       |
| Request 27 | Rebecca Kidder                     | <ul><li>a) Tribes with Need less than TDC</li><li>b) Tribes with Need less than 50K</li><li>c) Tribes with Final Grant less than 50K</li></ul>  | Distributed in Denver  | 7/15/03                       |
| Request 28 | Bob Carlile                        | Oklahoma joint use<br>areas and census<br>procedure for<br>OTSAs  | Distributed in Denver  | 7/15/03                       |
| Request 29 | Rebecca Kidder                     | Comparison of three minimum funding alternatives to current \$50K in year 1 and \$0K in succeeding years:  1) Need minimum 0.015%, 2) Grant minimum 0.015%, and 3) Need minimum 0.015% and grant limit 10% of total | Distributed in Denver  | 7/16/03                       |
| Request 30 | Eugina R Hobucket                  | Grant comparison with Section 8 units removed   | Distributed in Denver  | 7/16/03                       |
| Request 31 | Larry Coyle                        | Grant comparison with 0 % inflation for Section 8   | Distributed in Denver  | 7/16/03                       |
| Request 32 | Jim Wagenlander,<br>Rebecca Kidder | Projected CAS counts  | Projected CAS Comparisons with AELA minimum set to 1.00 after calculation of NFMRAEL | 7/16/03<br>(Revised 10/29/03) |
| Request 33 | Bruce La Pointe                    | Grant comparison with 100K minimum  | Distributed in Denver  | 7/17/03                       |
|            |                                    |   | 1) BIA data by tribe,  |                               |

| Request 34 | Laura Harjo                   | List of census and<br>BIA data by tribes,<br>Census Tribal<br>affiliation data, and<br>comparisons for<br>dividing<br>overlapping areas. | 2) Census data by formula area geography 3) Tribal Affiliation table for the nation. 4) Comparison of using BIA Enrollment and BIA Service Area data for dividing overlapping areas.                         | 9/15/03 |
|------------|-------------------------------|--|--|---------|
| Request 35 | Steve Hutchings               | Grant comparison with changes in weighting of Need variables   | Comparisons of FY 2003 allocation using multiple race Census 2000 data for all Need variables with two allocations using different Need variable weights: Area Office summary, State summary, Tribe summary. | 8/30/03 |
| Request 36 | Bruce La Pointe,<br>Phil Bush | FY2003 Grant of<br>the State<br>Recognized Tribes  | Distributed in Denver  | 7/17/03 |
| Request 37 | Rebecca Kidder                | Grant comparison   | Grant Comparison: Local Median Income vs NAHASDA Median Income   | 9/23/03 |
| Request 38 | Eugina R Hobucket             | Policy for reviewing population cap increase   | Population Cap Policy  | 9/3/03  |
| Request 39 | Tim Humphrey                  | Draft of a form for formula area expansion requests  | This request cannot be completed until the Committee reaches consensus on new definition of Formula Area. The attached is a form based upon the current Formula Response Form                                |         |

|                    | and based on the present definition of Formula Area. |  |
|--------------------|--|--|
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# Negotiated Rulemaking Committee Requests for Information

Last Update: November 12, 2003

|   | Washington D.C., August 18-21, 2003   |   |  |                    |  |
|---|---|---|--|--------------------|--|
| Comparison  | of Allocation based of  |   | with an Allocation Using Census 20<br>l Need Variables               | 000 Multiple Race  |  |
| -   | Comparison of an Allocation based on FY 2003 data Using Census 2000 Multiple Race Values for All Need  Variables with an Allocation Using AIAN Only Values for All Need Variables |   |  |                    |  |
| Request No. Submitted by Topic Response Completed |   |   |  |                    |  |
| Request 40  | Kazama  | Calculation IHBG Allocation with Census 2000 data and adjust AEL to national average, revision of Request 26                  | CAS and NEED Comparisons with AELA Minimum Set to 1.00 (Census 2000) | September 2, 2003  |  |
| Request 41  | Tooley  | Request for comparison of allocations based upon local median income, national median income, and the higher of these two for | Grant Comparison: Local<br>Median Income vs NAHASDA<br>Median Income | September 23, 2003 |  |

|            |         | each Tribe  |  |  |
|------------|---------|---|--|--|
| Request 42 | Tooley  | Affect of Housing Shortage Variable   | Allocation Comparison, Notes on column titles  | August 20, 2003                                |
| Request 43 | Marasco | The list of CAS and NEED work group documents.  | This information is available on the internet at www.codetalk.fed.us/NegReg.htm  | August 19, 2003                                |
| Request 44 | Doty    | \$ minimum of<br>need from<br>\$25K to  | Comparison for 5 of the possible  28 Alternatives for Minimum  Need Funding, FY 2003  Allocation based on Census 2000  Multiple Race Data as of 8/19/03  | September 10, 2003                             |
| Request 45 | Doty    | Comparison of Allocations based on AIAN only data   | Comparison of an Allocation Using Census 2000 Multiple Race Values for All Need Variables with an Allocation Using AIAN Only Values for All Need Variables   | August 21, 2003<br>Revised August 2'<br>2003   |
| Request 46 | Gore    | Listing of BIA<br>Data  | BIA data from four Labor Force Reports: 1995, 1997, 1999, and 2001. Since the Labor Force Report has been mandated by the Public Law 102-477 (1992), 1990 data is not available.   | August 21, 2003<br>Revised October<br>10, 2003 |
| Request 47 | Tooley  | The request asks for the number of tribes with, < 250 units in 1996, that had \$0 modernization | Listing of Tribes and IHAs that had < 250 units in 1996 and then of those a count of Tribes with no modernization subsidy. Note, this list includes some Tribes that had 0 units in 1996 and continue to have 0 units in 2003. | August 20, 2003                                |

|            |             | subsidy   |   |                    |
|------------|-------------|---|---|--------------------|
| Request 48 | Naize       | Allocation run using multiple race data, but adjusting the weights to: AIAN, .14; HH<30, .15; HH30-50, .08; HH50-80, .08; Overcrowd, .25; w/o kitchen .20; Cost burden .10; Shortage - drop | Need and Grant Comparison: Housing Shortage removed, w/o Kitchen/Plumbing separated from Overcrowding, all Need variables reweighted. | September 24, 2003 |
| Request 49 | Ducheneaux  | Reduction of<br>Need Variable<br>to Four, with<br>Equal<br>Weights  | NEED Variable Weights Set to <u>AIAN = .25, HH30 = .25,</u> <u>HH3050 = .25, HH5080 = .25</u>   | August 21, 2003    |
| Request 50 | Southerland | Percent of Native Americans with severe housing needs in IHBG Formula Areas by Region   | Table of need variables by regions showing counts, and proportions.   |                    |
| Request 51 | Sawyers     | CIAP Averages for Small Tribes (FY 1992-97) and FY 2003 Modernization Subsidy   | <u>List of IHAs</u>   | August 25, 2003    |
|            |             | Allocation based upon   | NEED Variable Weights Set to  |                    |

| Request 52 | LaPointe            | changed weighting of Need variables  | AIAN = .11, HH30 = .29,<br>HH3050 = .25, HH5080 = .15,<br>Overcrowd = .20  | September 2, 2003                                       |
|------------|---------------------|--|--|---|
| Request 53 | Sawyers/Heisterkamp | Allocation based on three Need variables with equal weight   | NEED Variable Weights Set to  HH30 = .333, HH3050 = .333,  HH5080 = .333   | September 2, 2003                                       |
| Request 54 | Harjo               | A table of I.H.S. birth and death rates used to project annual AI/AN population count. Provide this at the smallest geographic level and provide information on IHS contact. | EXCEL spreadsheet of IHS data by county  IHS Contact is JoAnn Pappalardo, Acting Director, Division of Program Statistics, at 301- 443- 1180 | September 15, 2003                                      |
| Request 55 | Kazama              | AIAN single<br>race data<br>variables for<br>all tribes  | Single Race Comparison, revised using FY2004 data.   | September 19,<br>2003, Revised<br>September 24,<br>2003 |
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## Negotiated Rulemaking Committee Requests for Information

Last Update: January 5, 2004

|             | Washington D.C., September 22-25, 2003 |   |   |           |  |  |
|-------------|--|---|---|-----------|--|--|
| Request No. | Submitted by                           | Topic   | Response  | Completed |  |  |
| Request 56  | Phil Bush                              | Allocation run with minimum Need of .0002 of the total fund.  | Revised FY2004 Estimate Data with minimum Need Funding of .02% of Available Funds, except tribes with 0 HH < 80% Median, Yr 1 = \$50K, and if CAS > \$500K, then No Minimum.                                      | 9/25/03   |  |  |
| Request 57  | Phil Bush                              | Allocation run with minimum Need of .0002 of the total fund. No effect on the tribes with 250K or less total grant. | Revised FY2004 Estimate Data with minimum Need Funding of .02% of Available Funds, except tribes with 0 HH < 80% Median, and Yr 1 = \$50K. If CAS > \$500K, then No Minimum, and if Grant < \$250K, No Reduction. | 9/25/03   |  |  |
| Request 58  | Jennie Greene                          | List of tribes that received minimum Need funding   | Comparison of FY98 – FY03 final Needs funding   | 9/24/03   |  |  |
| Request 59  | Rebecca Kidder                         | Rerun request 44 using 2000 census data   | Revised request 44. Run #5.   | 9/25/03   |  |  |

| Request 60 | Bruce LaPointe   | \$100K for total grant minimum   | Revised 2004 Estimate with minimum funding of 100K  | 9/25/03                       |
|------------|------------------|--|---|-------------------------------|
| Request 61 | Blake Kazama     | FY04 Estimation<br>run with Need<br>minimum; 1 <sup>st</sup> year<br>= \$50K, after then<br>\$25K  | Need minimum<br>funding set to<br>\$50K for new<br>tribes, and \$25K<br>for all others                | 9/25/03                       |
| Request 62 | Rebecca Kidder   | List of tribes with<br>the total grant of:<br>a) 0 - \$100K b)<br>\$100K - \$200K c)<br>\$200K - \$300K d)<br>\$300K - \$500K e)<br>more than \$500K | Tribe Counts by FY04 Estimate Allocation  | 9/25/03<br>(Revised 10/10/03) |
| Request 63 | Wayne Ducheneaux | Multiple race policy   | HUD response  | 1/7/04                        |
| Request 64 | Judith Marasco   | State tribe funding  | <u>HUD response</u>   | 1/7/04                        |
| Request 65 | Carol Gore       | IHS user data by tribe   | IHS data  | 11/12/03                      |
| Request 66 | Steve Hutchings  | Census data information  | Technical information, Guide for the 1990 census and the 2000 census, and 2000 AIAN population report | 9/24/03                       |
| Request 67 | Wayne Ducheneaux | Legal Opinion on<br>Median Income  | Memo on Use of "Median Income" and "Formula Median Income" in the IHBG Regulations                    | 1/7/04                        |
| Request 68 | Robbie Hobgood   | \$114M set aside for<br>Alaska, and run the<br>formula on the<br>remaining tribes.   | Revised FY04 Estimate with \$114M set aside for Alaska  | 10/10/03                      |
| Request 69 | Robbie Hobgood   | \$114M set aside for<br>Alaska, and run the<br>formula on the<br>remaining tribes<br>with (1) \$25K min<br>(2) \$50K min (3)<br>\$100K min.          | Need Minimum Alternatives with \$114M set aside for Alaska  | 10/10/03                      |
| Request 70 | Robbie Hobgood   | \$114M set aside for<br>Alaska, and run the<br>formula on the<br>remaining tribes<br>with (1) \$25K min  | Need Minimum Alternatives for the less than \$200K CAS  | 10/10/03                      |

|            |                | (2) \$50K min (3)<br>\$100K min for the<br>tribes with 200K or<br>less CAS grant.  | tribes with<br>\$114M set aside<br>for Alaska  |         |
|------------|----------------|--|--|---------|
| Request 71 | Robbie Hobgood | Set aside the same percentage of total funds allocated to Alaska in FY2003, and run the formula on the remaining tribes with no minimum.                                     | Revised FY04 Estimate  | 11/7/03 |
| Request 72 | Robbie Hobgood | Set aside the same percentage of total funds allocated to Alaska in FY2003, and run the formula on the remaining tribes with 1) \$25K, 2) \$50K, and 3) \$100K Need minimum. | Comparison of Need Minimum Alternatives for FY2004 Estimate with Separate Funds for Alaska | 11/7/03 |
| Request 73 | Robbie Hobgood | Set aside the same percentage of total funds allocated to Alaska in FY2003, and run the formula on the remaining tribes with \$100K minimum.                                 | Comparison of Grant Alternatives   | 11/6/03 |
| Request 74 | Robbie Hobgood | Set aside the same percentage of total funds allocated to Alaska in FY2003, and run the formula on the remaining tribes with \$200K minimum.                                 | Comparison of Grant Alternatives   | 11/6/03 |
| Request 75 | Robbie Hobgood | Calculate FY 2004 estimate using Census 2000 for Need, Tribe's percentage constant, & minimum funding for < \$200K   | HUD is seeking clarification on this request to resolve inconsistencies                    |         |
| Request 76 | Robbie Hobgood | Calculate FY 2004<br>estimate using<br>Census 2000 for<br>Need, Tribe's<br>percentage  | HUD is seeking clarification on this request to resolve                                    |         |

|            |                 | constant, & minimum funding for < \$100K   | inconsistencies   |          |
|------------|-----------------|--|---|----------|
| Request 77 | Robbie Hobgood  | Calculate FY 2004 estimate using Census 2000 for Need, Tribe's percentage constant, & minimum funding for < \$50K                                | HUD is seeking clarification on this request to resolve inconsistencies |          |
| Request 78 | Robbie Hobgood  | Calculate FY 2004 estimate using Census 2000 for Need, Tribe's percentage constant, & no minimum funding   | HUD is seeking clarification on this request to resolve inconsistencies |          |
| Request 79 | Jim Wagenlander | Formula run with Census 2000 multiple-race data incorporating all current approved challenges. Total Fund \$639M                                 | Grant Comparison between Multiple Race and Single Race Data.            | 12/31/03 |
| Request 80 | Jim Wagenlander | Formula run with<br>Census 2000 single-<br>race (AIAN only)<br>data<br>incorporating all<br>current approved<br>challenges. Total<br>Fund \$639M | Grant Comparison between Multiple Race and Single Race Data.            | 12/31/03 |
| Request 81 | Jim Wagenlander | Average of request 79 and 80   | Grant Comparison between Multiple Race and Single Race Data.            | 12/31/03 |
| Request 82 | Jim Wagenlander | Set aside \$10M for<br>small tribes, and<br>run the formula on<br>all tribes. Total<br>Fund \$639M   | FY 2004 Grant with \$10M set aside for small tribes.                    | 12/31/03 |
| Request 83 | Jim Wagenlander | Formula run using Census 2000 data A) without any minimum funding, B) with Need minimum (YR1 = \$50K, YR2 = \$25K), and show                     | Grant Comparison of the Effects between Current Minimum and No          | 12/31/03 |

|  | the differences for A & B. Total Fund \$639M |  |
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